## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPRO	VAL
OMB Number:	3235-0287
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hours per response	0.5

longer subject to
Section 16. Form 4 or
Form 5 obligations
may continue. See
Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	Responses)														
Name and Address of Reporting Person * Brooks Megan M.				2. Issuer Name and Ticker or Trading Symbol Riot Blockchain, Inc. [RIOT]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) C/O RIOT BLOCKCHAIN, INC., 202 6TH STREET, STE 401				3. Date of Earliest Transaction (Month/Day/Year) 08/12/2021						X	Officer (gi	ve title below) Chief (	Oth Operating Off	er (specify belo icer	w)
CASTLE I	ROCK, CO	(Street) 0 80104		4. If Amen	dment, Γ	ate Orig	inal Fil	ed(Month/	Day/Year)	_X_	Form filed by	One Reporting	up Filing(Chec Person Reporting Perso	**	ne)
(City)		(State)	(Zip)	Table I - Non-De			on-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Yes			2A. Deemed Execution Date, if any (Month/Day/Year)		(Instr. 8)		(A) or Disposed		of (D) Owr Tran	mount of Securities Ber ned Following Reported asaction(s) tr. 3 and 4)		d C F I	Ownership Form: Direct (D)	Beneficial Ownership	
						Cod	e V	Amou	(A) or	Price	or Indirect			(Instr. 4)	
Reminder: Re	eport on a sep	parate line for each	class of securities	beneficially	y owned	directly	Per	sons wl tained i	in this for	nd to the co m are not i ently valid	equired	to respond	d unless the		474 (9-02)
Reminder: Re	eport on a se	parate line for each	class of securities	beneficially	y owned	directly	Per	sons wl tained i	in this for	m are not i	equired	to respond	d unless the		1474 (9-02)
1. Title of	2. Conversion or Exercise Price of Derivative	3. Transaction	Table II -  3A. Deemed Execution Date,	Derivative (e.g., puts,  4. Transac Code	Securit calls, w: 5. N of I Sec ) Acc	ies Acquarrants, Number Derivative purities quired (ADisposed	Personnired, Looption (Mo	sons what tained in display bisposed s, converse texts.	in this for ays a curr of, or Ben rtible secur cisable on Date	m are not i ently valid eficially Ow	required OMB conned  Amount	to respond ntrol numbers	d unless the	of 10. Ownersh Form of	11. Natu of Indire Benefici Ownersh (Instr. 4)
1. Title of Derivative Security	2. Conversion or Exercise Price of	3. Transaction Date	Table II -  3A. Deemed Execution Date, any	Derivative (e.g., puts,  4. Transac Code	e Securit calls, w: 5. Ition of I Sec ) Acc or I of ((Ins	ies Acquarrants, Number Derivative curities quired (A Disposed D) str. 3, 4,	Personnired, Looption (Mo	sons what tained in display of the converse of	in this for ays a curr of, or Ben rtible secur cisable on Date	m are not in ently valid eficially Ownities)  7. Title and of Underlying Securities	required OMB conned  Amount	8. Price of Derivative Security	9. Number of Derivative Securities Beneficially Owned Following Reported	f 10. Ownersh Form of Derivati Security Direct (I or Indire	11. Nature of Indire Benefici (Ownersh (Instr. 4)
1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative	3. Transaction Date	Table II -  3A. Deemed Execution Date, any	Derivative (e.g., puts,  4. Transac Code	e Securit calls, wa for tion of 1 Second or 1 of ( (Instance)	ies Acquarrants, Number Derivative curities quired (A Disposed D) str. 3, 4,	Person form	sons wittend in display Disposed s, converte Exerce Expirationth/Day/	in this for ays a curr of, or Ben rtible secur cisable on Date Year)	m are not in ently valid eficially Ownities)  7. Title and of Underlying Securities	required OMB conned  Amount	8. Price of Derivative Security	9. Number of Derivative Securities Beneficially Owned Following	f 10. Ownersh Form of Derivati Security Direct (I or Indire	11. Natu of Indire Benefici ve (Instr. 4)

### **Reporting Owners**

	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
Brooks Megan M. C/O RIOT BLOCKCHAIN, INC. 202 6TH STREET, STE 401 CASTLE ROCK, CO 80104			Chief Operating Officer		

## **Signatures**

/s/ Megan Brooks	08/16/2021
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Represents performance-based restricted stock units ("PSUs") which covert, on a one-for-one basis, into shares of the Issuer's Common Stock, no par value per share, subject to any net settlement for taxes permitted under the Riot Blockchain, Inc. 2019 Equity Incentive Plan, as amended (the "Plan"). The PSUs were issued to the Reporting Person under the Plan pursuant to the Performance Pertricted Stock Unit Award Agreement, dated as of August 12, 2021, between the Issuer and the Reporting Person (the "Award Agreement") as approved.
- (1) pursuant to the Performance Restricted Stock Unit Award Agreement, dated as of August 12, 2021, between the Issuer and the Reporting Person (the "Award Agreement"), as approved by the Compensation and Human Resources Committee of the Issuer.
- On August 12, 2021, the Committee determined the performance goals for 33,333 of the PSUs had been achieved. Accordingly, the 33,333 PSUs indicated on this Form 4 became fully (2) vested and eligible to be settled by the Issuer in accordance with its customary practices and procedures, subject to any net settlement for taxes permitted under the Plan and the Award Agreement.
- (3) Represents the total direct and indirect beneficial ownership of the security held by the Reporting Person immediately following the issuance reported by this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.