# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

**OMB APPROVAL**  
OMB Number: 3235-0287  
Estimated average burden hours per response... 0.5

### (Print or Type Responses)

1. **Name and Address of Reporting Person**
   - Northern Data AG  
   - AN DER WELLE 3  
   - FRANKFURT AM MAIN, 2M 60322

2. **Issuer Name and Ticker or Trading Symbol**
   - Riot Blockchain, Inc. [RIOT]

3. **Date of Earliest Transaction (Month/Day/Year)**
   - 09/01/2021

4. **Date of Earliest Transaction (Month/Day/Year)**
   - 09/01/2021

5. **Relationship of Reporting Person(s) to Issuer**
   - Director  
   - 10% Owner

6. **Individual or Joint/Group Filing (Check Applicable Line)**
   - X_ Form filed by One Reporting Person
   - ___ Form filed by More than One Reporting Person

7. **Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| Title of Security | Transaction Date (Month/Day/Year) | Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. 8) | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Code | V | Amount (A) or (D) | Price | Code | V | Amount or Number of Shares | Date Exercisable | Expiration Date | Title |
|-------------------|-----------------------------------|-----------------------------------------------|-----------------------------|----------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------|---------|---|-------------------|-------|---------|---------------------|-----------------|--------------|-------|
| Common Stock, no par value | 09/01/2021 | | | S | 1,850,008 | D | S | 36.84 | 9,949,992 | D |

**Reminder:** Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**SEC 1474 (9-02)**

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

<table>
<thead>
<tr>
<th>Title of Derivative Security (Instr. 3)</th>
<th>Conversion or Exercise Price of Derivative Security</th>
<th>Transaction Date (Month/Day/Year)</th>
<th>Deemed Execution Date, if any (Month/Day/Year)</th>
<th>Transaction Code (Instr. 8)</th>
<th>Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)</th>
<th>Date Exercisable</th>
<th>Expiration Date</th>
<th>Title</th>
<th>Number of Shares</th>
</tr>
</thead>
</table>

### Reporting Owners

<table>
<thead>
<tr>
<th>Reporting Owner Name / Address</th>
<th>Relationships</th>
</tr>
</thead>
<tbody>
<tr>
<td>Northern Data AG</td>
<td>X</td>
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<td>X</td>
</tr>
</tbody>
</table>

### Signatures

_/s/ Aroosh Thillainathan, Chief Executive Officer_  
09/03/2021

**Signature of Reporting Person**

### Explanation of Responses:
* If the form is filed by more than one reporting person, see Instruction 4(b)(v).


(1) Represents shares of the Issuer's common stock, no par value, sold by such Reporting Person in a secondary public offering as a selling stockholder pursuant to a registration statement on Form S-3 (Registration No. 333-259039), filed with the Securities and Exchange Commission by the Issuer on August 24, 2021.

(2) Represents the total direct and indirect ownership of the security held by the Reporting Person immediately following the transaction reported by this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.